

**GENERAL DYNAMICS CORPORATION  
NOTICE TO INTERESTED PARTIES**

**[General Dynamics Retirement Plan \(Commercial\)](#)**

**[General Dynamics Retirement Plan \(Electric Boat\)](#)**

**[General Dynamics Retirement Plan \(Government\)](#)**

**[General Dynamics Land Systems Pension Agreement](#)**

**[GDLS Muskegon Operations UAW Retirement Plan](#)**

**[Retirement Plan for UMWA Represented Employees of Freeman United Coal Mining Company](#)**



**GENERAL DYNAMICS CORPORATION  
NOTICE TO INTERESTED PARTIES**

1. Notice To: All employees eligible to participate in the General Dynamics Retirement Plan (Commercial).

An application is to be made to the Internal Revenue Service for an advance determination on the qualification of the following employee pension benefit plan:

2. Name of Plan: General Dynamics Retirement Plan (Commercial)
3. Plan Number: 002
4. Name and Address of Applicant: General Dynamics Corporation  
2941 Fairview Park Drive, Suite 100  
Falls Church, VA 22042-4513
5. EIN: 13-1673581
6. Name and Address of Plan Administrator: General Dynamics Corporation  
2941 Fairview Park Drive, Suite 100  
Falls Church, VA 22042-4513

7. The application will be filed on January 31, 2017 for an advance determination as to whether the plan meets the qualification requirements of section 401 or 403(a) of the Internal Revenue Code of 1986 (the "Code"), with respect to the plan's amendment. The application will be filed with:

Internal Revenue Service  
Attention: EP Determination Letters  
Stop 31  
P.O. Box 12192  
Covington, KY 41012-0192

8. The employees eligible to participate under the plan are employees that meet the eligibility requirements of the Sub-Plans listed on Attachment A.
9. The Internal Revenue Service has previously issued a determination letter with respect to the qualification of this plan.

**RIGHTS OF INTERESTED PARTIES**

10. You have the right to submit to EP Determinations, either individually or jointly with other interested parties, your comments as to whether this plan meets the qualification requirements of the Code. Your comments to EP Determinations may be submitted to:

Internal Revenue Service  
EP Determinations  
Attn: Customer Service Manager  
P.O. Box 2508  
Cincinnati, OH 45202

You may instead, individually or jointly with other interested parties, request the Department of Labor to submit, on your behalf, comments to EP Determinations regarding qualification of the plan. If the Department declines to comment on all or some of the matters you raise, you may, individually, or jointly

if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations at the Cincinnati address above.

### **REQUESTS FOR COMMENTS BY THE DEPARTMENT OF LABOR**

11. The Department of Labor may not comment on behalf of interested parties unless requested to do so by the lesser of 10 employees or 10 percent of the employees who qualify as interested parties. The number of persons needed for the Department to comment with respect to this plan is 10. If you request the Department to comment, your request must be in writing and must specify the matters upon which comments are requested, and must also include:

- (1) the information contained in items 2 through 5 of this Notice; and
- (2) the number of persons needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

Deputy Assistant Secretary  
Employee Benefits Security Administration  
Attn: 3001 Comment Request  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

### **COMMENTS TO THE INTERNAL REVENUE SERVICE**

12. Comments submitted by you to EP Determinations must be in writing and received by it by March 20, 2017. However, if there are matters that you request the Department of Labor to comment upon on your behalf, and the Department declines, you may submit comments on these matters to EP Determinations to be received by it within 15 days from the time the Department notifies you that it will not comment on a particular matter, or by March 20, 2017 whichever is later, but not after April 3, 2017. A request to the Department to comment on your behalf must be received by it by February 16, 2017 if you wish to preserve your right to comment on a matter upon which the Department declines to comment, or by February 27, 2017 if you wish to waive that right.

### **ADDITIONAL INFORMATION**

13. Detailed instructions regarding the requirements for notification of interested parties may be found in sections 17 and 18 of Rev. Proc. 2016-6. Additional information concerning this application (including, where applicable, an updated copy of the plan and related trust; the application for determination; any additional documents dealing with the application that have been submitted to the Service; and copies of section 17 of Rev. Proc. 2016-6) are available at the Human Resources office of the Plan Administrator listed on the first page during the hours of 9:00 a.m. and 4:30 p.m. for inspection and copying. (There is a nominal charge for copying and/or mailing.)

## **Sub-Plans of the General Dynamics Retirement Plan (Commercial)**

### **Attachment A**

- AxleTech International, Inc. Retirement Plan
- General Dynamics Facilities Employees Retirement Plan
- General Dynamics Salaried Retirement Plan – Corporate Legacy Provisions
- General Dynamics Salaried Retirement Plan – OTS Garland Legacy Provisions
- 1998 Gulfstream Aerospace Corporation Retirement Plan
- Gulfstream Aerospace Corporation Pension Plan
- Gulfstream Aerospace Technologies Hourly Employees Pension Plan
- Gulfstream Aerospace Technologies Salaried Employees Pension Plan
- Hourly Employees Retirement Plan
- Lincoln Quarry Hourly Employees Retirement Plan
- Marine Handling and Fleeting Company Hourly Employees Retirement Plan
- Material Service Corporation International Brotherhood of Electrical Workers Local No. 134 Retirement Plan
- Resources Group Hourly Employees Retirement Plan
- Retirement Plan for Hourly Employees of Ward Stone and Babcock Quarry
- Retirement Plan for the Resources Group Salaried Employees
- Retirement Plans Covering Certain Former Operations of General Dynamics Corporation and Its Subsidiaries

**GENERAL DYNAMICS CORPORATION  
NOTICE TO INTERESTED PARTIES**

1. Notice To: All employees eligible to participate in the General Dynamics Retirement Plan (Electric Boat).

An application is to be made to the Internal Revenue Service for an advance determination on the qualification of the following employee pension benefit plan:

2. Name of Plan: General Dynamics Retirement Plan (Electric Boat)
3. Plan Number: 070
4. Name and Address of Applicant: General Dynamics Corporation  
2941 Fairview Park Drive, Suite 100  
Falls Church, VA 22042-4513
5. EIN: 13-1673581
6. Name and Address of Plan Administrator: General Dynamics Corporation  
2941 Fairview Park Drive, Suite 100  
Falls Church, VA 22042-4513

7. The application will be filed on January 31, 2017 for an advance determination as to whether the plan meets the qualification requirements of section 401 or 403(a) of the Internal Revenue Code of 1986 (the "Code"), with respect to the plan's initial qualification. The application will be filed with:

Internal Revenue Service  
Attention: EP Determination Letters  
Stop 31  
P.O. Box 12192  
Covington, KY 41012-0192

8. The employees eligible to participate in the General Dynamics Retirement Plan (Electric Boat) are certain employees of Electric Boat that meet the eligibility requirements of the Sub-Plans listed on Attachment A.
9. The Internal Revenue Service has not previously issued a determination letter with respect to the qualification of this plan.

**RIGHTS OF INTERESTED PARTIES**

10. You have the right to submit to EP Determinations, either individually or jointly with other interested parties, your comments as to whether this plan meets the qualification requirements of the Code. Your comments to EP Determinations may be submitted to:

Internal Revenue Service  
EP Determinations  
Attn: Customer Service Manager  
P.O. Box 2508  
Cincinnati, OH 45202

You may instead, individually or jointly with other interested parties, request the Department of Labor to submit, on your behalf, comments to EP Determinations regarding qualification of the plan. If the Department declines to comment on all or some of the matters you raise, you may, individually, or jointly

if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations at the Cincinnati address above.

### **REQUESTS FOR COMMENTS BY THE DEPARTMENT OF LABOR**

11. The Department of Labor may not comment on behalf of interested parties unless requested to do so by the lesser of 10 employees or 10 percent of the employees who qualify as interested parties. The number of persons needed for the Department to comment with respect to this plan is 10. If you request the Department to comment, your request must be in writing and must specify the matters upon which comments are requested, and must also include:

- (1) the information contained in items 2 through 5 of this Notice; and
- (2) the number of persons needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

Deputy Assistant Secretary  
Employee Benefits Security Administration  
Attn: 3001 Comment Request  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

### **COMMENTS TO THE INTERNAL REVENUE SERVICE**

12. Comments submitted by you to EP Determinations must be in writing and received by it by March 20, 2017. However, if there are matters that you request the Department of Labor to comment upon on your behalf, and the Department declines, you may submit comments on these matters to EP Determinations to be received by it within 15 days from the time the Department notifies you that it will not comment on a particular matter, or by March 20, 2017 whichever is later, but not after April 3, 2017. A request to the Department to comment on your behalf must be received by it by February 16, 2017 if you wish to preserve your right to comment on a matter upon which the Department declines to comment, or by February 27, 2017 if you wish to waive that right.

### **ADDITIONAL INFORMATION**

13. Detailed instructions regarding the requirements for notification of interested parties may be found in sections 17 and 18 of Rev. Proc. 2016-6. Additional information concerning this application (including, where applicable, an updated copy of the plan and related trust; the application for determination; any additional documents dealing with the application that have been submitted to the Service; and copies of section 17 of Rev. Proc. 2016-6) are available at the Human Resources office of the Plan Administrator listed on the first page during the hours of 9:00 a.m. and 4:30 p.m. for inspection and copying. (There is a nominal charge for copying and/or mailing.)

## **Sub-Plans of the General Dynamics Retirement Plan (Electric Boat)**

### **Attachment A**

- Electric Boat Corporation Hourly Rate Employees Retirement Plan
- Electric Boat Corporation Hourly Rate Technical Design Employees Retirement Plan
- Electro Dynamic Hourly Rate Employees Retirement Plan
- General Dynamics Salaried Retirement Plan
- General Dynamics Salaried Retirement Plan – Corporate Legacy Provisions



**GENERAL DYNAMICS CORPORATION  
NOTICE TO INTERESTED PARTIES**

1. Notice To: All employees eligible to participate in the General Dynamics Retirement Plan (Government).

An application is to be made to the Internal Revenue Service for an advance determination on the qualification of the following employee pension benefit plan:

2. Name of Plan: General Dynamics Retirement Plan (Government)

3. Plan Number: 001

4. Name and Address of Applicant: General Dynamics Corporation  
2941 Fairview Park Drive, Suite 100  
Falls Church, VA 22042-4513

5. EIN: 13-1673581

6. Name and Address of Plan Administrator: General Dynamics Corporation  
2941 Fairview Park Drive, Suite 100  
Falls Church, VA 22042-4513

7. The application will be filed on January 31, 2017 for an advance determination as to whether the plan meets the qualification requirements of section 401 or 403(a) of the Internal Revenue Code of 1986 (the "Code"), with respect to the plan's amendment. The application will be filed with:

Internal Revenue Service  
Attention: EP Determination Letters  
Stop 31  
P.O. Box 12192  
Covington, KY 41012-0192

8. The employees eligible to participate under the plan are employees that meet the eligibility requirements of the Sub-Plans listed on Attachment A.
9. The Internal Revenue Service has previously issued a determination letter with respect to the qualification of this plan.

**RIGHTS OF INTERESTED PARTIES**

10. You have the right to submit to EP Determinations, either individually or jointly with other interested parties, your comments as to whether this plan meets the qualification requirements of the Code. Your comments to EP Determinations may be submitted to:

Internal Revenue Service  
EP Determinations  
Attn: Customer Service Manager  
P.O. Box 2508  
Cincinnati, OH 45202

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if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations at the Cincinnati address above.

### **REQUESTS FOR COMMENTS BY THE DEPARTMENT OF LABOR**

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### **ADDITIONAL INFORMATION**

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## **Sub-Plans of the General Dynamics Retirement Plan (Government)**

### **Attachment A**

- Advanced Information Systems Pension Plan for Represented Employees
- Bath Iron Works Corporation Pension Plan for Hourly Employees
- Camden Hourly Employees Retirement Plan
- GDLS Basic Pension Plan for Salaried Employees
- GDLS Pension Plan for Plant Guards (including GDLS Corporate Office Center Guards Retirement Plan)
- GDLS Pension Plan for Represented Salaried Employees
- GDLS Pension Plan for Salaried Employees
- General Dynamics Facilities Employees Retirement Plan
- General Dynamics Salaried Retirement Plan
- General Dynamics Salaried Retirement Plan – Armament Systems and Defense Systems Legacy Provisions
- General Dynamics Salaried Retirement Plan – ATS Legacy Provisions
- General Dynamics Salaried Retirement Plan – BIW Legacy Provisions
- General Dynamics Salaried Retirement Plan – Corporate Legacy Provisions
- General Dynamics Salaried Retirement Plan – Decision Systems Portable Legacy Provisions
- General Dynamics Salaried Retirement Plan – Decision Systems Traditional Legacy Provisions
- General Dynamics Salaried Retirement Plan – GDIS Legacy Provisions
- General Dynamics Salaried Retirement Plan – GSC Legacy Provisions
- General Dynamics Salaried Retirement Plan – Muskegon Legacy Provisions
- General Dynamics Salaried Retirement Plan – NASSCO Legacy Provisions
- General Dynamics Salaried Retirement Plan – OTS Garland Legacy Provisions
- General Dynamics Salaried Retirement Plan – Saco Legacy Provisions
- Hourly Employees Retirement Plan
- NASSCO Pension Plan
- Navajo Hourly Employees Retirement Plan
- Ordnance and Tactical Systems, Inc. Retirement Plan for Represented Employees
- Retirement Plan for Hourly Employees of General Dynamics Armament Systems – Saco Operations
- Retirement Plan for Hourly Employees of General Dynamics Ordnance and Tactical Systems, Inc. (Garland)
- Retirement Plan for Lincoln, Nebraska Hourly Employees of General Dynamics Armament and Technical Products, Inc.
- Retirement Plan for Marion, Virginia Hourly Employees of General Dynamics Armament and Technical Products, Inc.
- Retirement Plan for Represented Employees of General Dynamics Advanced Information Systems and General Dynamics Armament and Technical Products

**GENERAL DYNAMICS LAND SYSTEMS, INC.  
NOTICE TO INTERESTED PARTIES**

1. Notice to: All employees eligible to participate in the General Dynamics Land Systems Pension Agreement.

An application is to be made to the Internal Revenue Service for an advance determination on the qualification of the following employee pension benefit plan:

2. Name of Plan: General Dynamics Land Systems Pension Agreement
3. Plan Number: 008
4. Name and Address of Applicant: General Dynamics Land Systems, Inc.  
38500 Mound Road  
Sterling Heights, MI 48310
5. EIN: 54-0582680
6. Name and Address of Plan Administrator: General Dynamics Corporation  
2941 Fairview Park Drive, Suite 100  
Falls Church, VA 22042-4513

7. The application will be filed on January 31, 2017 for an advance determination as to whether the plan meets the qualification requirements of section 401 or 403(a) of the Internal Revenue Code of 1986 (the "Code"), with respect to the plan's amendment. The application will be filed with:

Internal Revenue Service  
Attention: EP Determination Letters  
Stop 31  
P.O. Box 12192  
Covington, KY 41012-0192

8. The employees eligible to participate under the plan are employees of General Dynamics Land Systems, Inc. represented by the Local Unions.
9. The Internal Revenue Service has previously issued a determination letter with respect to the qualification of this plan.

**RIGHTS OF INTERESTED PARTIES**

10. You have the right to submit to EP Determinations, either individually or jointly with other interested parties, your comments as to whether this plan meets the qualification requirements of the Code. Your comments to EP Determinations may be submitted to:

Internal Revenue Service  
EP Determinations  
Attn: Customer Service Manager  
P.O. Box 2508  
Cincinnati, OH 45202

You may instead, individually or jointly with other interested parties, request the Department of Labor to submit, on your behalf, comments to EP Determinations regarding qualification of the plan. If the Department declines to comment on all or some of the matters you raise, you may, individually, or jointly

if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations at the Cincinnati address above.

### **REQUESTS FOR COMMENTS BY THE DEPARTMENT OF LABOR**

11. The Department of Labor may not comment on behalf of interested parties unless requested to do so by the lesser of 10 employees or 10 percent of the employees who qualify as interested parties. The number of persons needed for the Department to comment with respect to this plan is 10. If you request the Department to comment, your request must be in writing and must specify the matters upon which comments are requested, and must also include:

- (1) the information contained in items 2 through 5 of this Notice; and
- (2) the number of persons needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

Deputy Assistant Secretary  
Employee Benefits Security Administration  
Attn: 3001 Comment Request  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

### **COMMENTS TO THE INTERNAL REVENUE SERVICE**

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### **ADDITIONAL INFORMATION**

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**GENERAL DYNAMICS LAND SYSTEMS, INC.  
NOTICE TO INTERESTED PARTIES**

1. Notice to: All participants or beneficiaries receiving or entitled to receive a benefit under the GDLS Muskegon Operations UAW Retirement Plan.

An application is to be made to the Internal Revenue Service for an advance determination on the qualification of the following employee pension benefit plan:

2. Name of Plan: GDLS Muskegon Operations UAW Retirement Plan

3. Plan Number: 016

4. Name and Address of Applicant: General Dynamics Land Systems, Inc.  
38500 Mound Road  
Sterling Heights, MI 48310

5. EIN: 54-0582680

6. Name and Address of Plan Administrator: General Dynamics Corporation  
2941 Fairview Park Drive, Suite 100  
Falls Church, VA 22042-4513

7. The application will be filed on January 31, 2017 for an advance determination as to whether the plan meets the qualification requirements of section 401 or 403(a) of the Internal Revenue Code of 1986 (the "Code"), with respect to the plan's amendment. The application will be filed with:

Internal Revenue Service  
Attention: EP Determination Letters  
Stop 31  
P.O. Box 12192  
Covington, KY 41012-0192

8. The individuals eligible to participate under the plan were employees of the Muskegon Operations of General Dynamics Land Systems, Inc. paid on an hourly basis and represented by the Union prior to January 1, 2007.

9. The Internal Revenue Service has previously issued a determination letter with respect to the qualification of this plan.

**RIGHTS OF INTERESTED PARTIES**

10. You have the right to submit to EP Determinations, either individually or jointly with other interested parties, your comments as to whether this plan meets the qualification requirements of the Code. Your comments to EP Determinations may be submitted to:

Internal Revenue Service  
EP Determinations  
Attn: Customer Service Manager  
P.O. Box 2508  
Cincinnati, OH 45202

You may instead, individually or jointly with other interested parties, request the Department of Labor to submit, on your behalf, comments to EP Determinations regarding qualification of the plan. If the

Department declines to comment on all or some of the matters you raise, you may, individually, or jointly if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations at the Cincinnati address above.

#### **REQUESTS FOR COMMENTS BY THE DEPARTMENT OF LABOR**

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- (1) the information contained in items 2 through 5 of this Notice; and
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**GENERAL DYNAMICS CORPORATION  
NOTICE TO INTERESTED PARTIES**

1. Notice to: All participants or beneficiaries receiving or entitled to receive a benefit under the Retirement Plan for UMW Represented Employees of Freeman United Coal Mining Company.

An application is to be made to the Internal Revenue Service for an advance determination on the qualification of the following employee pension benefit plan:

2. Name of Plan: Retirement Plan for UMW Represented Employees of Freeman United Coal Mining Company
3. Plan Number: 025
4. Name and Address of Applicant: General Dynamics Corporation  
2941 Fairview Park Drive, Suite 100  
Falls Church, VA 22042-4513
5. EIN: 13-1673581
6. Name and Address of Plan Administrator: General Dynamics Corporation  
2941 Fairview Park Drive, Suite 100  
Falls Church, VA 22042-4513

7. The application will be filed on January 31, 2017 for an advance determination as to whether the plan meets the qualification requirements of section 401 or 403(a) of the Internal Revenue Code of 1986 (the "Code"), with respect to the plan's amendment. The application will be filed with:

Internal Revenue Service  
Attention: EP Determination Letters  
Stop 31  
P.O. Box 12192  
Covington, KY 41012-0192

8. The individuals eligible to participate under the plan were employees of Freeman United Coal Mining Company paid by an hourly rate and represented by the Union prior to the sale of the Company on August 7, 2007.
9. The Internal Revenue Service has previously issued a determination letter with respect to the qualification of this plan.

**RIGHTS OF INTERESTED PARTIES**

10. You have the right to submit to EP Determinations, either individually or jointly with other interested parties, your comments as to whether this plan meets the qualification requirements of the Code. Your comments to EP Determinations may be submitted to:

Internal Revenue Service  
EP Determinations  
Attn: Customer Service Manager  
P.O. Box 2508  
Cincinnati, OH 45202

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### **REQUESTS FOR COMMENTS BY THE DEPARTMENT OF LABOR**

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- (1) the information contained in items 2 through 5 of this Notice; and
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